

# TeleMONITOR

Market Abuse Identification

Intelligent transaction screening for insider trading and market manipulation



The need for control and identification of Market Abuse, raised by European law (CONSOB and the Committee of European Securities Regulators' "Market Abuse Directive Level 3") adds to the already present need for dysfunctional activity monitoring in client transactions. Therefore, a demand for new solutions emerges, in order to handle and cope with these delicate issues.

**TeleMONITOR allows the definition of rules covering cases relating to possible Market Abuse behaviours.** Define cases of Insider Trading and Market Manipulation with the screening system designed for specialised negotiation transactions that feeds its own statistical base to **continuously refine profiles and improve recognition of "unusual" behaviour.**

## SOLUTION BENEFITS

- Analyses the efficiency of shares and derivatives in transit in the client system, taking into account the shares flow, issuing reports and statistical analysis relating to efficiency.
- Rules may be modified by the user whether in parametric or logical terms.
- Configure and override thresholds and set off the alert concerning the transaction.
- Dynamic and static thresholds can be based on the market transaction or on past transactions of those being checked.
- Available in-house at SaaS through TAS Plug & Go Service.



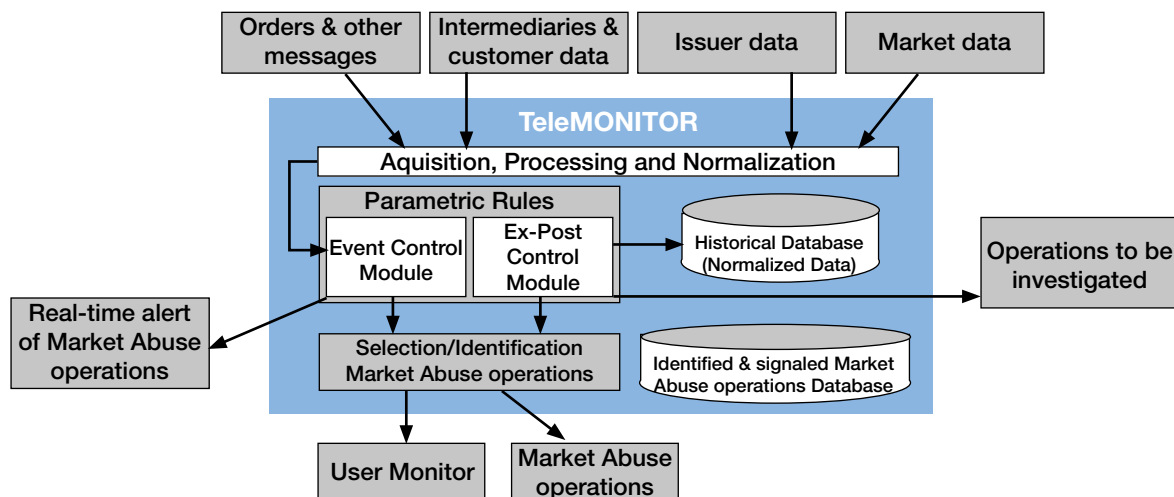
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## KEY FEATURES

- **Total cover of the regulations** as set out by the CONSOB and Committee of European Securities Regulators' "Market Abuse Directive Level 3" directive. The product covers all cases described by the rules relating to possible cases of insider trading and market manipulation behaviours
- **Highlighting of 'unusual' behaviours** Through the statistical data base feed and the application of mathematical algorithms (reversion/distribution). Detect behavioural anomalies at market level, at institutional level and regarding client efficiency (single client or groups)
- **User control of rule definition** The rules for identifying suspected Market Abuse behaviours are characteristically much like a set of assigned controls. The user is able to define and calibrate the parameters as well as being able to modify the logic of the rules by introducing new commands
- **Ease of analysis** Any suspected transaction is identified through the violated Market Abuse regulation and takes the form of a set of information (charts, thresholds, indicators) that will assist the user's investigation. The operations analysis is feasible according to different aggregation procedures/test levels
- **Numerous survey tools** Evidence of suspected operations, book and market status at the point of transaction, details of violated controls, client balance, comparative charts (market/bank, bank/client, bank/client group, etc.)
- **Processing control & summary monitoring** The user controls the status of the takeover process, number of orders and completed papers, considered aggregation tools, active regulations at the point of processing, regulations violated and employed aggregation tools as well as orders and executions involved

## EASY INTEGRATION

The application receives currents from external sources on institutional movement and client-related information (profiles, regrouping, etc). It acquires price sensitive news from various providers (NIS, Milano Finanza, Reuters, etc), for identifying insider trading against market information on closure prices and trading volume through a highly efficient, practical user interface with analysis support charts.



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